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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-0998A

First Named Inventor : Tetsuji TOGAWA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

 ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

 1. ☒ Fee Transmittal Form
 (Submit an original, and a duplicate for fee processing)

 7. ☐ CD-ROM or CD-R in duplicate, large table or computer
 program (Appendix)

 2. ☐ Small Entity Status is hereby asserted.

 8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)

 3. ☒ Specification [Total Pages: 46]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

 a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

 i. ☐ CD-ROM or CD-R (2 copies); or

 ii. ☐ Paper

 c. ☐ Statement verifying identity of above copies

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

ACCOMPANYING APPLICATION PARTS

 4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 21]

 9. ☒ Assignment Papers (cover sheet & document(s))

 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

☐ Power of Attorney

 11. ☐ English Translation Document (if applicable)

 12. ☒ Information Disclosure Statement (IDS)/PTO-1449

☒ Copies of IDS Citations

 13. ☐ Preliminary Amendment

 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

 15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)

 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
 Applicant must attach form PTO/SB/35 or its equivalent.

 17. ☐ Other

 5. ☒ Oath or Declaration [Total Pages: 3]

 a.1. ☒ Newly executed (original or copy)

 a.2. ☐ Unexecuted

 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)

 i. ☐ DELETION OF INVENTOR(S)

 Signed statement attached deleting inventor(s) named
 in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

 6. ☐ Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner

Group Art Unit

 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

 Jeffrey R. Filipek
 Registration No. 41,471

 WENDEROTH, LIND & PONACK, L.L.P.
 2033 "K" Street, N.W., Suite 800
 Washington, D.C. 20006-1021
 Phone: (202) 721-8200
 Fax: (202) 721-8250

July 13, 2001

 THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEES FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Tetsuji TOGAWA et al. :
Serial No. NEW : Attn: APPLICATION BRANCH
Filed July 13, 2001 : Attorney Docket No. 2001-0998A
POLISHING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$750.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$710.00
Assignment for Recordal \$40.00
Additional Claims Fee:
Excess of Twenty \$
Independent \$
Multiple Dependent Fee \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

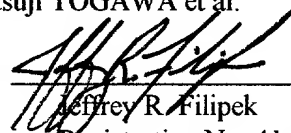
The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Tetsuji TOGAWA et al.

By



Jeffrey R. Filipek
Registration No. 41,471
for Nils E. Pedersen
Registration No. 33,145
Attorney for Applicants

NEP/JRF/krl
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006-1021
Telephone (202) 721-8200
July 13, 2001

[Check No. 45452]

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